

CHARITIES POOLED TRUST, INC

(A Trust for Persons with Disabilities)

BENEFICIARY PROFILE SHEET

AND JOINDER AGREEMENT

NOTE: All questions must be answered or your application will be delayed.

Beneficiary Profile Sheet

1. Name of Donor (Generally same as Beneficiary): _____
(First Name, Middle Name, Last Name)

Social Security No. of Donor: _____

Address of Donor: _____

Telephone Number of Donor: _____

2. Name of Disabled Beneficiary (In-Kind Beneficiary): _____
(First Name, Middle Name, Last Name)

Social Security No. of Disabled Beneficiary: _____

Address: _____

Telephone Number (Day): _____ (Evening): _____

3. County of Residence: _____

Place of Birth: _____

Citizenship: _____

Date of Birth: _____ Gender: _____

Please list qualifying disabilities: _____

4. Is the purpose of establishing this trust the result of a Court Order? Yes _____ No _____
(If yes, please include a copy of Court Order)

5. Is the purpose of establishing this account to shelter monthly income? Yes _____ No _____

Indicate estimated monthly deposit: \$ _____

(Note: This is supplemental information for CHARITIES POOLED TRUST purposes only. This amount may be changed at any time with no effect on the Joinder Agreement.)

6. Beneficiary Income: *If you receive any form of Social Security benefit, **if possible** please submit a copy of your "proof of income" letter.*

Does the Beneficiary receive Supplemental Security Income (SSI)?

Yes _____ No _____ If yes, how much? Amount \$ _____

Does the Beneficiary receive Social Security Disability Income (SSDI)?

Yes _____ No _____ If yes, how much? Amount \$ _____

Does the Beneficiary receive Social Security Retirement Income (SSA)?

Yes _____ No _____ If yes, how much? Amount \$ _____

Does the Beneficiary receive Survivor Benefits?

Yes _____ No _____ If yes, how much? Amount \$ _____

Does the Beneficiary receive other income? Yes _____ No _____

(If yes, please provide detail)

Does the Beneficiary receive Medicaid? Yes _____ No _____ Pending _____

If yes, list Medicaid card number _____

If the Beneficiary receives other benefits or entitlements, such as Food Stamps, HUD Sec. 8, etc. list these benefits and monthly amounts:

7. (a) Indicate the living arrangement of the Beneficiary:

Lives Independently _____ Lives with parents or other family _____

Family Care Program _____ CR/IRA/ICF (supervised) _____

CR/IRA (supportive) _____ Nursing Home _____

Assisted Living Facility _____ Other (explain) _____

(b) Does the Beneficiary receive community funds as part of residential care?

Yes _____ No _____ If yes, how much is it and how often received? _____

8. List other services that the Beneficiary receives (include day services, service coordination, employment programs, etc.):

<u>Service</u>	<u>Name of Provider</u>
_____	_____
_____	_____

9. (a) Is there a court appointed Guardian for the Beneficiary? Yes ___ No ___

If yes, attach copy of Decree or Letters of Guardianship and complete the following:

Guardian of the: Person Property Both

If specific powers/authority is granted please list:

(Include dental and medical) _____

If specific powers/authority is exempted please list:

(Include dental and medical) _____

Please list name(s) and addresses of Guardian(s): _____

- (b) Are Standby Guardian(s) appointed? Yes ___ No ___

If yes, for the: Person Property Both

Please list name(s) and addresses of Standby Guardian(s).

- (c) Are Alternate Standby Guardian(s) appointed? Yes ___ No ___

If yes, for the: Person Property Both

Please list name(s) and addresses of Alternate Standby Guardian(s).

10. Relationship of Donor to Beneficiary _____

11. Would anyone like to receive an e-mail copy of monthly statements? Yes ____ No ____

12. List the individual to receive the "Beneficiary Binder" _____

(Please note: One individual will receive a "Beneficiary Binder". This may be sent to the beneficiary or an alternate authorized individual. The binder contains a copy of the acceptance letter, executed Joinder Agreement, disbursement request forms, deposit slips, etc.)

AUTHORIZED CONTACTS: Please note that CHARITIES POOLED TRUST requires the Beneficiary to have an authorized contact to speak to us on your behalf. Please note that the Trustees in their discretion may require an intermediary to assist in the administration of the Beneficiary's sub-trust account.

List individuals below authorized to contact us on behalf of the Beneficiary (*check all that apply*)

	Communicate	Submit Disbursements
Name: _____	<input type="checkbox"/>	<input type="checkbox"/>
Address: _____ _____		
Telephone No.: _____		
Relationship: _____		
E-mail: _____		

Person to contact in case of Emergency

(REQUIRED IF NO ONE IS LISTED ABOVE):

(This individual will only be contacted if we are unable to reach you)

Name: _____

Address: _____

Telephone No.: _____

Relationship: _____

13. List an individual who will be submitting the Trust documents to Medicaid, Social Security Administration, or other government agency on your behalf: *(must be knowledgeable in Medicaid Law, i.e., Attorney, Social Worker, Elder Care Consultant, etc.) Please note: The individual listed below will receive a copy of the acceptance letter in addition to a copy of the executed Joinder Agreement.*

Name: _____ Telephone No.: _____

Agency/Firm, etc. _____ E-mail: _____

Address: _____

14. Does the Beneficiary have funeral provisions in place? Yes _____ No _____

If yes, please include a copy of the funeral arrangements and provide as much detail as possible.

Please provide name, address and phone number of the Funeral Home, submit a copy of the funeral agreement and indicate status of funding:

15. Is there a life insurance policy in place for the Beneficiary? Yes _____ No _____

If yes, provide the name and address of the insurance company and the policy number:

CERTIFICATION:

I certify that the above information is accurate and complete to the best of my knowledge.

Donor/Beneficiary Signature

Date

CHARITIES POOLED TRUST

Joinder Agreement

NOTE: THIS IS A LEGAL DOCUMENT. IT IS AN AGREEMENT PERTAINING TO A SUPPLEMENTAL NEEDS TRUST CREATED PURSUANT TO 42 UNITED STATES CODE §1396. YOU ARE ENCOURAGED TO SEEK INDEPENDENT, PROFESSIONAL ADVICE BEFORE SIGNING THIS AGREEMENT. ADDITIONALLY, THE CHARITIES POOLED TRUST INC TRUST MAY NOT ACCEPT THIS JOINDER AGREEMENT UNLESS YOU HAVE A LEGAL REPRESENTATIVE.

The undersigned hereby adopts, enrolls in and establishes a sub-trust account under the **CHARITIES POOLED TRUST**, this Trust being incorporated herein by reference. **THIS TRUST IS IRREVOCABLE.**

1. Name of Donor (Generally same as Beneficiary): _____

Social Security No. of Donor: _____

Date of Birth: _____

Address of Donor: _____

Telephone Number of Donor: _____

2. Name of Disabled Beneficiary (In-Kind Beneficiary): _____

Disabled Beneficiary's Social Security Number: _____

Date of Birth: _____

Address: _____

Telephone Number (Day): _____ (Evening): _____

3. Fees shall be paid in accordance with the published fee schedule.

4. Death of Beneficiary

- a. **The Beneficiary's sub-trust account terminates upon his or her death.** If, upon the death of the Beneficiary, funds remain in his or her sub-trust account, such funds shall be deemed to be property of the Trust and all funds that are remaining in the Beneficiary's separate sub-trust account shall be retained by the **CHARITIES POOLED TRUST** to further the purposes of the Trust.
- b. All final disbursement requests must be submitted within ninety (90) days of the Beneficiary's death and upon submission of the death certificate. Only expenses incurred prior to the Beneficiary's death will be considered.
- c. Funeral expenses will only be paid pursuant to a Medicaid eligible pre-need funeral agreement established prior to the Beneficiary's death. **Funeral expenses will not be paid after the Beneficiary's death.**

5. Contributions/Deposits:

- a. All contributions made to the Trust Account will be held and administered pursuant to the provisions of the **CHARITIES POOLED TRUST**. The provisions of the **CHARITIES POOLED TRUST** are incorporated herein by reference.
- b. The Trustees shall have the sole and absolute right to accept or refuse additional deposits to the Sub-trust account.
- c. In the event that a Beneficiary has a zero (\$0) sub-trust account balance for sixty (60) or more consecutive days, the Trustee shall retain the right to close the Beneficiary's sub-trust account. Please be advised that the Trustee may continue to charge administrative fees for the management of the sub-trust account prior to its closure. In the event that a Beneficiary wishes to re-open a sub-trust account, the Beneficiary may be required to pay any outstanding administrative fees stemming from the prior sub-trust account. Additionally, the Beneficiary shall be required to pay a new enrollment fee when re-opening a sub-trust account.

6. Disbursements:

- a. All disbursement requests shall be reviewed and approved on an individual basis.
- b. Disbursements for expenses incurred prior to 90 days of submission of a disbursement request form shall not be paid.

- c. The Trustees, in their discretion, have determined that disbursements for the following items shall not be paid: purchases of firearms, alcohol, tobacco, items relating to illegal activity, bail, or restitution.
 - d. All disbursements shall be made at the sole and absolute discretion of the Trustees.
7. Disability Determination:
In the event that a disability determination is required for Medicaid purposes, please be advised that administrative fees shall be incurred while the determination of disability is being made.
8. Miscellaneous: Amendments:
Provisions of this Joinder Agreement may be amended by the parties hereto in writing, so long as any such amendment is consistent with the Master Trust.
- Taxes:
The Donor acknowledges that contributions to the **CHARITIES POOLED TRUST** are not tax deductible as charitable gifts, or otherwise.
9. Disclosure of Potential Conflict of Interest:
There may be a potential conflict of interest in the administration of the Trust since the Trust retains those funds remaining in the sub-trust account at the time of death of the Beneficiary. Funds remaining in the Trust may be used to pay for ancillary and/or supplemental services for Beneficiaries and potential Beneficiaries for which services may be rendered by **CHARITIES POOLED TRUST**.
The Donor(s) executing this Joinder Agreement is/are aware of the potential conflicts of interest that exist in the Trustee's administration of the Trust. The Trustee shall not be liable to the Donor or to any party for any act of self-dealing or conflict of interest resulting from their affiliations with **CHARITIES POOLED TRUST** or with any Beneficiary or constituent agencies.
10. Situs: The sub-trust account created by this Agreement has been accepted by the Trustee in the State of New York and will be initially administered by the current Trustees of **CHARITIES POOLED TRUST**. The validity, construction, and all rights under this Agreement shall be governed by the laws of the State of New York. The situs of this Trust for administrative, accounting and legal purposes shall be in the County of ERIE, the County where the majority of meetings concerning establishment of the Trust have occurred.

11. Invalidity of any Provision: Should any provision of this Agreement be or become invalid or unenforceable, the remaining provisions of this Agreement shall be and continue to be fully effective.

I have received and reviewed a copy of the CHARITIES POOLED TRUST Declaration of Master Trust, prior to the signing of this *Joinder Agreement*. I have also read the Information and Procedures and acknowledge that I understand the contents of all of the trust documents. I also understand that said documents may be amended from time to time.

By signing below, the Donor acknowledges that the Beneficiary is disabled as defined in Social Security Law Section 1614 (a) (3) [42 USC 13822(c) (a) (3)]

Under penalty of perjury, all statements made in this document are true and accurate to the best of my knowledge.

By signing below, you agree to the following:

The CHARITIES POOLED TRUST is a trust authorized to be used by individuals with disabilities pursuant to federal and state law. By agreeing to accept a donor's property pursuant to this Joinder Agreement, CHARITIES POOLED TRUST, agrees only to manage the trust funds in accordance with the terms of the Master Trust Agreement and in compliance with applicable federal and state law and regulation. It is the sole responsibility of the donor and/or the donor's representative to determine whether the donor is "disabled" as that term is defined under federal law, to determine whether they have the legal authority to transfer property to fund the trust, and the impact that a transfer of property to the CHARITIES POOLED TRUST will have on the donor's continuing eligibility for government benefit programs.

CHARITIES POOLED TRUST is not assuming any responsibility as counsel for the donor or Beneficiary, or providing any legal advice as it relates to the consequences of a transfer of property to the CHARITIES POOLED TRUST.

The Trustees in their discretion may require an intermediary to assist in the administration of the Beneficiary's sub-trust account, the cost of which would be charged to that Beneficiary's sub-trust account.

The party authorized to speak with us on your behalf or the intermediary must notify CHARITIES POOLED TRUST, immediately upon your death and will be required to provide us with a certified death certificate.

An individual requesting and/or receiving disbursements in contravention of the Master Trust Agreement and the Joinder Agreement will be required to repay the amount disbursed.

This Joinder Agreement and the participation of the Beneficiary in the CHARITIES POOLED TRUST is an important legal decision that will have significant and lasting consequences for the Beneficiary and as a result you may want to consider obtaining advice from an attorney or another trusted professional adviser before entering into this Agreement. By signing this Agreement you are acknowledging that you have had a full and complete opportunity to confer with an attorney or other adviser and that no employee of CHARITIES POOLED TRUST has provided you (or the Beneficiary, if different from the person signing this Agreement) with any legal advice in connection with this Joinder Agreement, the participation by the Beneficiary in the CHARITIES POOLED TRUST or the suitability of such participation by the Beneficiary in the CHARITIES POOLED TRUST based upon the particular circumstances of the Beneficiary.

If applicable, this document was translated from Latin American Spanish to English by:

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SIGNATURE OF DONOR/GUARDIAN RELATIONSHIP TO BENEFICIARY DATE

State of New York)
County of _____) ss.

On this _____ day of _____, 20____, before me, the undersigned, a Notary Public in and for said State, personally appeared, _____ personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to within the instrument and acknowledged to me that he/she executed the same in his/her capacity and that by his/her signature on the instrument, the individual or the person upon behalf of which the individual acted, executed the instrument.

Notary Public

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FOR OFFICE USE ONLY

CHARITIES POOLED TRUST, as Trustee _____/_____/_____
DATE

Date Complete: _____/_____/_____
Date Accepted: _____/_____/_____
Initial Funding: \$ _____